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APPLICATION NUMBER

26171

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/826,920

FISH & RICHARDSON P.C.

WASHINGTON, DC 20005-3500

1425 K STREET, N.W.

11TH FLOOR

04/19/2004

Shunpei Yamazaki

12732-228001

CONFIRMATION NO. 1020

FORMALITIES LETTER

OC000000013090041

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

08/31/2004 SZEWDIE1 00000116 10826920

01 FC:1001 770.00 DP 02 FC:1051 130.00 OP

03 FC:1053 130.00 DP 04 FC:1201 05 FC:1202 258.00 OP

Filing Date Granted

05 FC:1202 06 FC:999Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English. translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-16.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1030 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

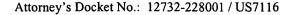
A copy of this notice <u>MUST</u> be returned with the reply.

TIN

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al. Art Unit: Unknown Serial No.: 10/826,920 Examiner: Unknown

Filed : April 19, 2004

Title : AN APPARATUS FOR FORMING A FILM AND AN

ELECTROLUMINESCENCE DEVICE

MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 28, 2004 (copy enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$770 and additional claims fees in the amount of \$386;
- Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- A verified English translation of the application and payment of the \$130 fee required under 37 CFR §1.17(k);
- Please charge Deposit Account No. 06-1050 in the total amount of \$1416 for the filing fee, claims fee, surcharge fee, missing parts fee and the petition of time fee;
- Replacement drawings (in English) that comply with the size requirements and that is clear and in permanent ink. The replacement pages contain the same material as the drawings on deposit;
- A specification (in English) that is written on only one side of the page, that complies with the size requirements, and that is clear and in permanent ink. The replacement contains the same material as the specification on deposit; and
- A Preliminary Amendment.

Applicant: Shunpei Yamazaki et al.

Serial No.: 10/826,920 Filed: April 19, 2004

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It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Attorney's Docket No.: 12732-228001 / US7116

Date: 🗛

August 30, 2004

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40239684.doc



Docket No.: 12732-228001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Shunpei YAMAZAKI et al.)
Application No.: 10/826,920) Examiner: Unknown
Filed: April 19, 2004) Group Art Unit:
For: APPARATUS FOR FORMING A FILM) Not Yet Assigned
AND AN ELECTROLUMINESCENCE DEVICE)

VERIFICATION OF TRANSLATION

Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

Sir:

I, Etsuko FUJIMOTO, C/O Semiconductor Energy Laboratory Co., Ltd. 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan, herewith declare:

that I am well acquainted with both the Japanese and English Languages; and

that to the best of my knowledge and belief the followings is a true and correct translation of the US Patent Application No. 10/826,920 filed on April 19, 2004.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 2004/8/20

Name: Etsuko FUJIMOTO

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